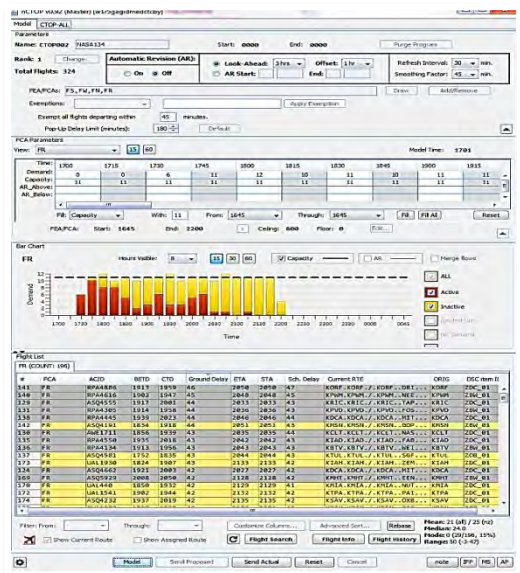




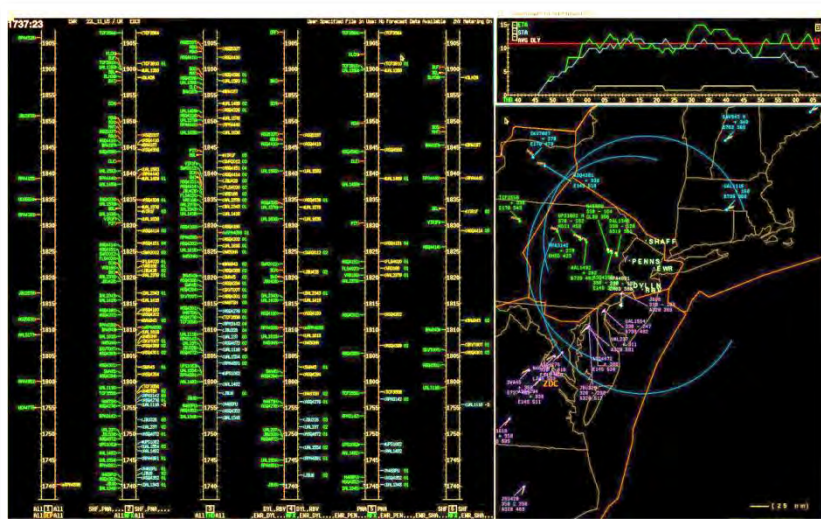
Integrated Demand Management (IDM) addresses traffic demand/capacity imbalances through coordinated use of two of the FAA's NextGen Decision Support Systems:

- **Traffic Flow Management System (TFMS)** and its new **Collaborative Trajectory Options Program (CTOP)** capability, and
- **Time-Based Flow Management (TBFM)**

TFMS/CTOP



TBFM



An IDM workshop with the **Collaborative Decision Making (CDM) Flow Evaluation Team working group** demonstrated the value of stakeholder engagement, both for concept development and stakeholder buy-in.



BACKGROUND

Motivation



A screenshot of a web browser window displaying the FlightAware MiseryMap interface. The browser tabs include 'FlightAware MiseryMap', 'Flight Finder - Miami Intl (KMIA)', and 'Flight Delay Information - Air Traffic'. The address bar shows the URL 'https://flightaware.com/miserymap/all/15314...'. The page header features the FlightAware logo and a 'Back to main site' link. Below the header, there are input fields for 'AIRLINE' (set to 'United') and 'FLIGHT #' (set to '450'), with a 'Track a flight' button. On the right side, statistics are displayed: '436 DELAYS' and '10 CANCELLATIONS' between 11 AM and 3 PM. The main content area shows a map of the United States with circular gauges at various airports, indicating flight status (On Time or Misery).

A screenshot of the Federal Aviation Administration (FAA) website, specifically the 'Flight Delay Information - Air Traffic Control System Command Center' page. The page header includes the FAA logo and navigation links like 'ATCSCC Home', 'Products', 'What's New', 'Site Map', 'ATCSCC FAQ', 'Diversions Forums', and 'Text-Only Version'. Below the header, there are search and filter options: 'View by Region', 'Search by Airport', and 'View by Major Airport'. The main content area features a map of the United States with various airports marked by green dots. A legend at the bottom indicates that green represents 'On Time' and red represents 'Misery'. A note at the bottom states: 'The status information provided on this site indicates general airport conditions; it is not flight-specific. Check with your airline to determine if your flight is affected. Information on wait times at security checkpoints.'





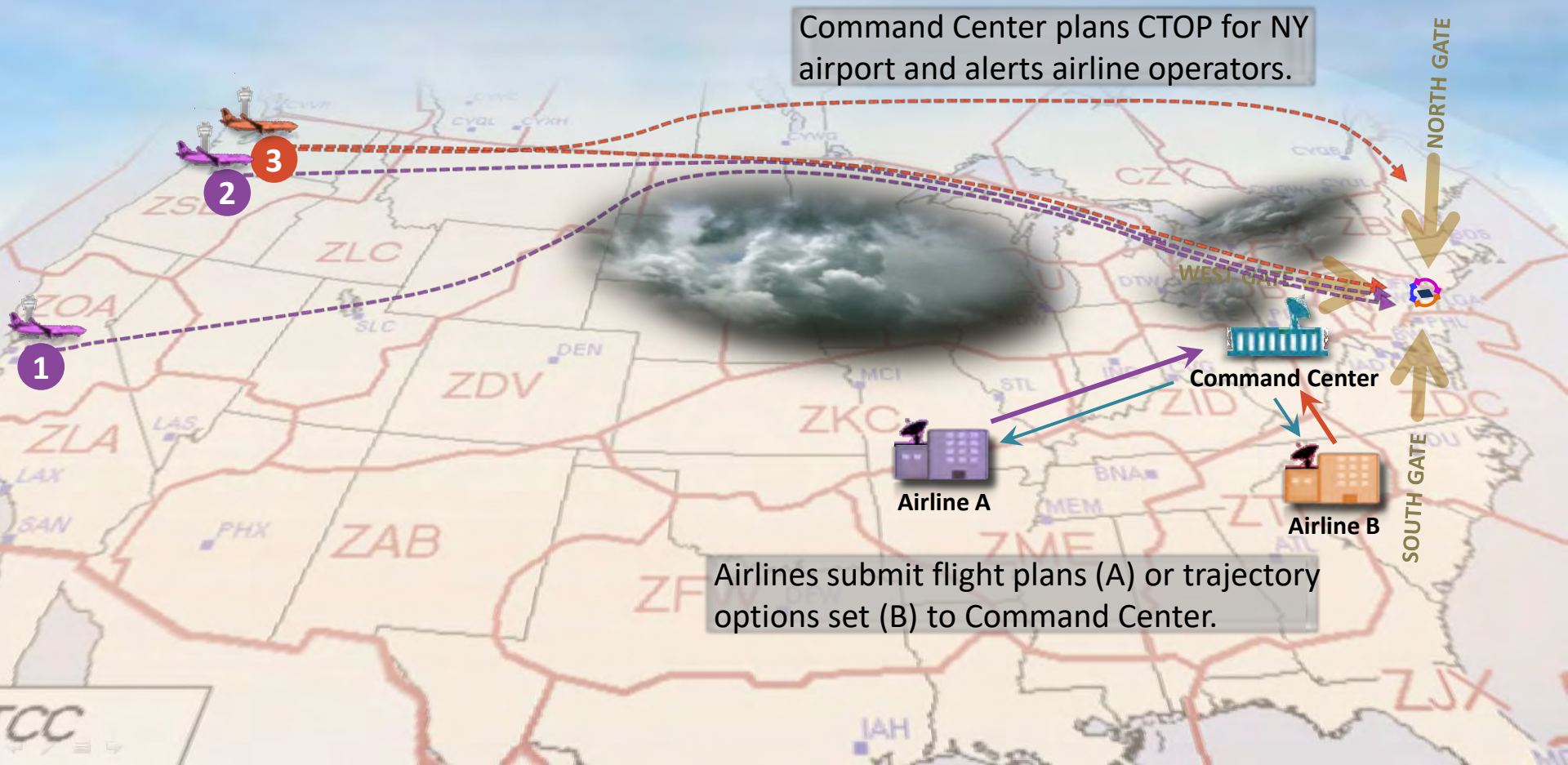
Integrated Demand Management (IDM)

- Objectives
 - Coordinate demand across TFMS and TBFM:
 - TFMS/CTOP “strategically” manages demand into TBFM
 - TBFM “tactically” manages delivery to capacity-limited airport
 - Near- to mid-term concept
 - Engage stakeholders early and throughout the process
- User Benefits
 - Predictability, stability and flexibility of flight schedules and trajectories

TFMS: Traffic Flow Management System **TBFM:** Time-Based Flow Management
CTOP: Collaborative Trajectory Options Program



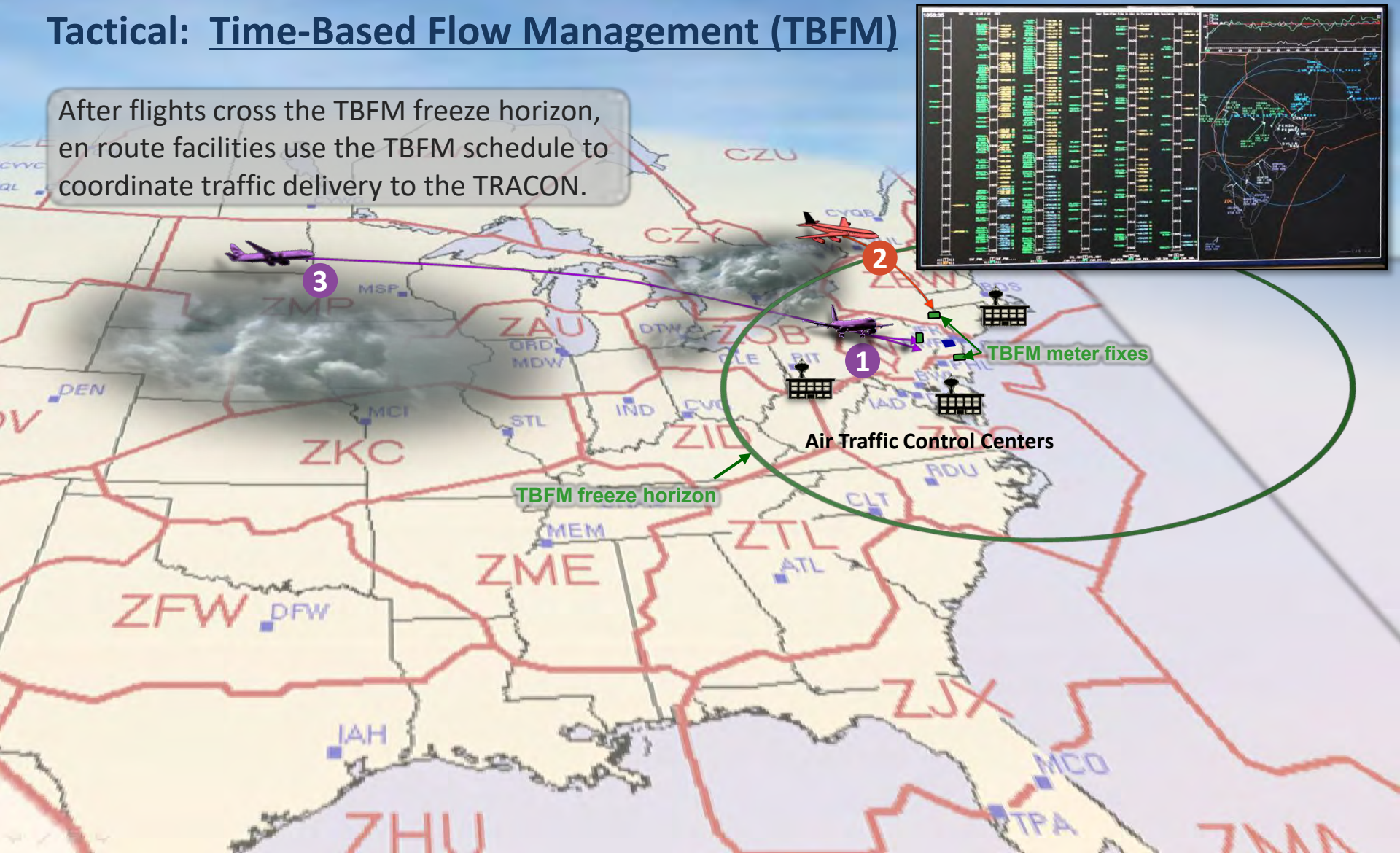
Strategic: Collaborative Trajectory Options Program (CTOP)





Tactical: Time-Based Flow Management (TBFM)

After flights cross the TBFM freeze horizon, en route facilities use the TBFM schedule to coordinate traffic delivery to the TRACON.





IDM PART-TASK EXPERIMENT, AUGUST 2017: BENEFITS OF SUBMITTING MULTIPLE TRAJECTORY OPTIONS

August 2017 Experiment: Overview

- **Research Question**

- *What happens at different Trajectory Option Set (TOS) submission levels?*

- **Problem:**

- Newark Liberty International Airport (EWR) arrival demand exceeds target capacity
- En route weather limits west flow capacity

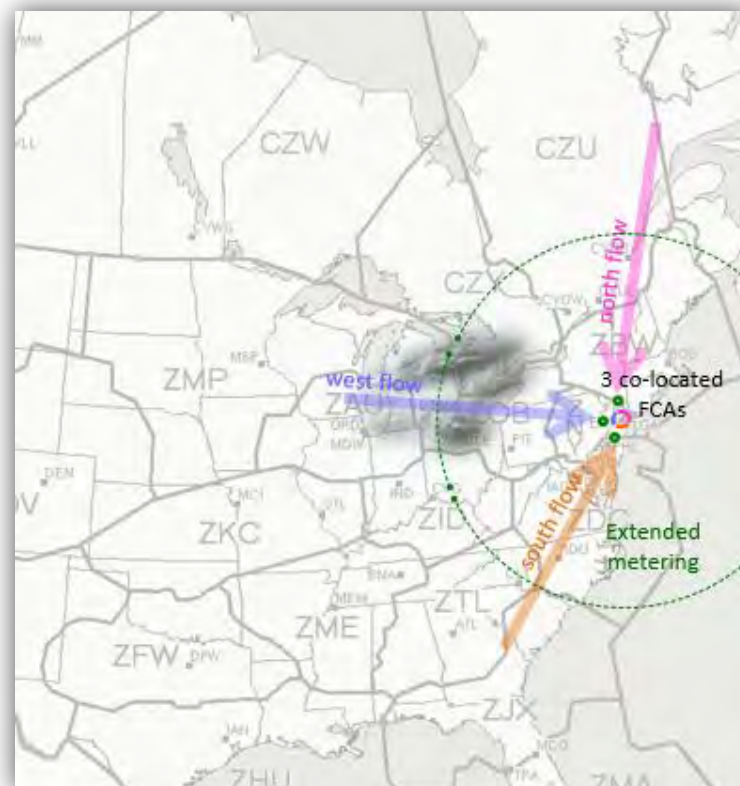
- **Conditions:**

- TOS submission levels: 0%, 25%, 50%, 75%, 100%

- **Metrics:** Arrival throughput, ground delay

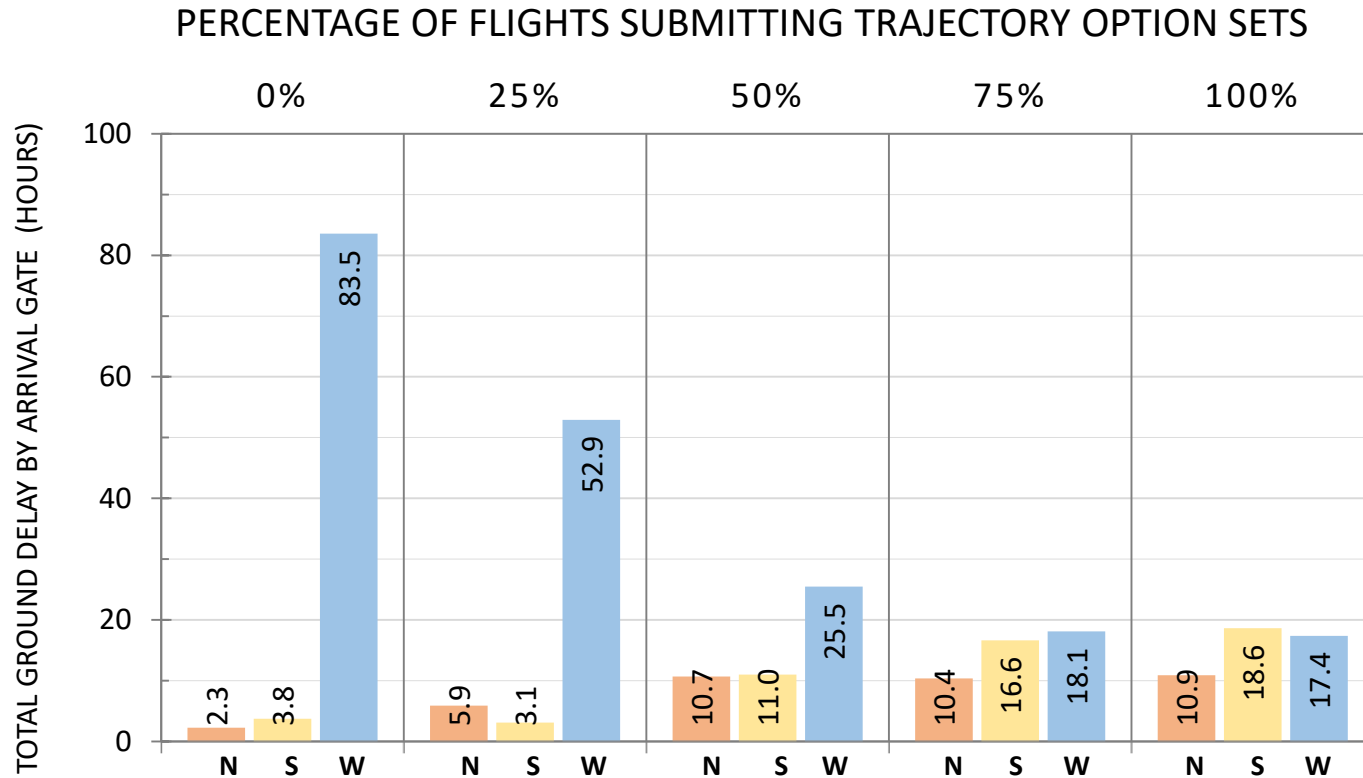
- **Scenario Characteristics:**

- Target arrival rate is 44 flights/hour
- Arrival demand ~55 flights/hour for 4 hours.
- Heaviest flows from the West and South.
- West gate is limited to 12 flights/hour
- North and South flows share remaining 32 slots





August 2017 Experiment: Results*



Off-loading traffic from the west flow can substantially reduce ground delay for arrivals on that gate and meet airport capacity if 50% or more flights submit trajectory option sets.

* Hyo-Sang Yoo, C. Brasil, N. Buckley, G. Hodell, S. Kalush, P. U. Lee, N. M. Smith (2018). "Impact of Different Trajectory Option Set Participation Levels within an Air Traffic Management Collaborative Trajectory Option Program." In *18th AIAA Aviation Technology, Integration, and Operations Conference*.



MARCH 2018 WORKSHOP WITH CDM WORKING GROUP



March 2018 Workshop: What We Did

- Human-in-the-loop simulation conducted with CDM Flow Evaluation Team
- FAA members and airline representatives from United, Delta, American, Southwest and FedEx were asked to role-play in LaGuardia Airport (LGA) simulation similar to August 2017 experiment
- Series of runs were completed with different airlines submitting trajectory option sets, including:
 - *All* airlines submit trajectory options sets
 - *No* airlines submit trajectory options sets
 - *Subset* of airlines – United, Delta, American, Southwest and/or JetBlue – submit trajectory options sets
- After each run, output showing airline-specific impact was provided to participants
- Operators described implications for their company operations



March 2018 LGA Simulation Demo: Overview (1)

- **Objectives**

- Explore IDM’s concept of using CTOP to precondition traffic for TBFM when users have different TOS submission capabilities
- Obtain stakeholder feedback on benefits for *all* users, feasibility and suggestions

- **Research Questions**

- *What happens when different airlines submit Trajectory Option Set (TOS)?*
- *Who benefits more: TOS submitting airlines? Or non-submitting airlines?*

- **Problem:**

- LaGuardia Airport (LGA) arrival demand exceeds target capacity
- En route weather limits west flow capacity

- **Conditions:**

- Participants decide who will be “TOS-capable”

- **Metrics:**

- Ground delay, reroute count, added flight time



RESULTS



Results: No-TOS vs. All TOS comparison

Run 1: No Flights Submit Trajectory Options Sets

Run 2: NO Airlines are TOS Capable (Preliminary Run, 3/14/2018)

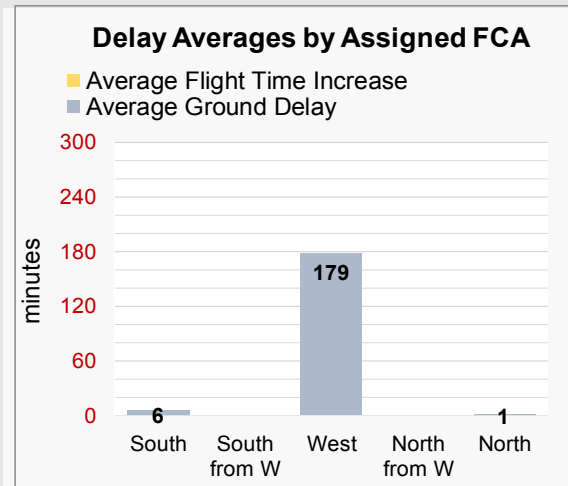
Average Arrival Rate: 198.5 hour

ALL AIRLINES

Total flight count: **185**
 Eligible flights only: **142**
 Total Ground Delay: **7110 min** **118.5 hours**

Ground Delay Distribution

on-time: 25, 18%
 20-60 min: 6, 4%
 1-2 hours: 3, 2%
 2+ hours: 108, 76%



TOS-rerouted flights: **0**
 Flight Time increase: **0 min** **0.0 hours**
 Ground Delay reduction: **0 min** **0.0 hours**

Run 1: ALL airlines are TOS Capable (Preliminary Run, 3/14/2018)

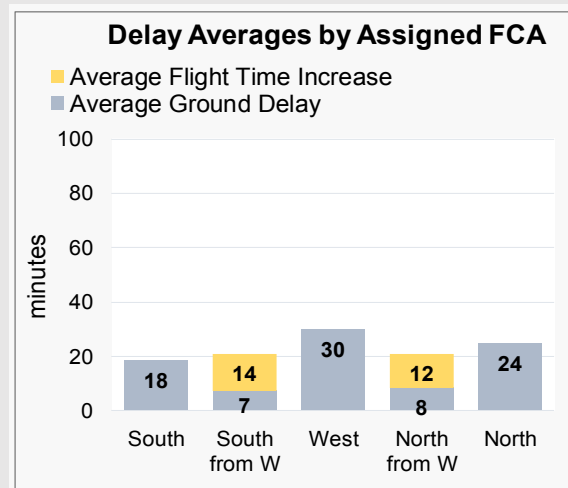
Average Arrival Rate: 36 hour

ALL AIRLINES

Total flight count: **185**
 Eligible flights only: **142**
 Total Ground Delay: **2674 min** **44.6 hours**

Ground Delay Distribution

on-time: 62, 44%
 20-60 min: 0, 0%
 1-2 hours: 1, 1%
 2+ hours: 79, 55%



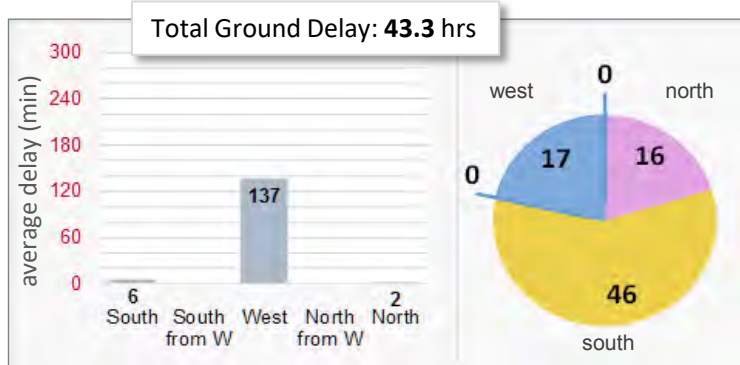
TOS-rerouted flights: **23**
 Flight Time increase: **298 min** **5.0 hours**
 Ground Delay reduction: **717 min** **11.9 hours**

Results: Delta and American, three different conditions

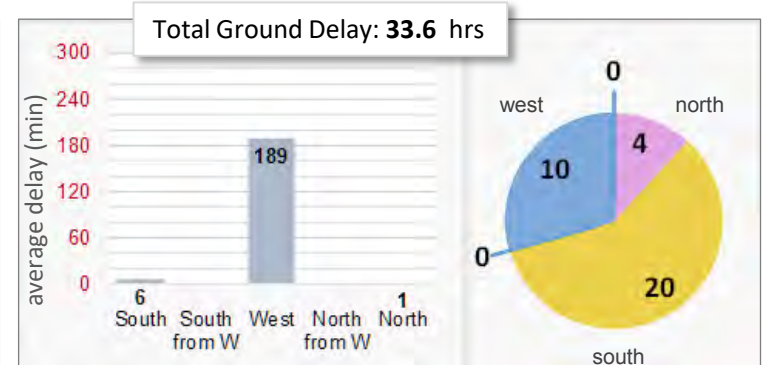


Run 1: No airlines submit Trajectory Options Sets

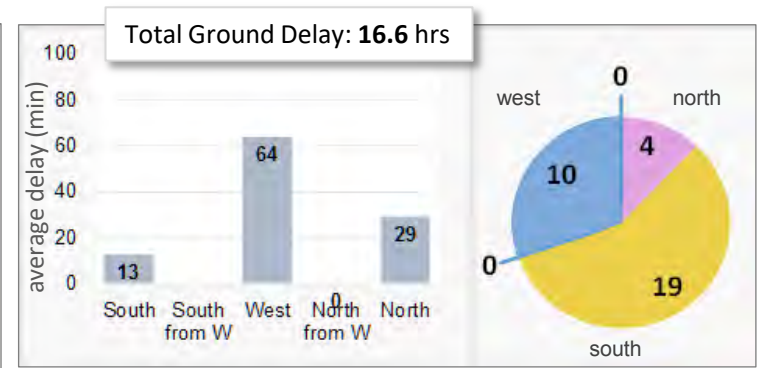
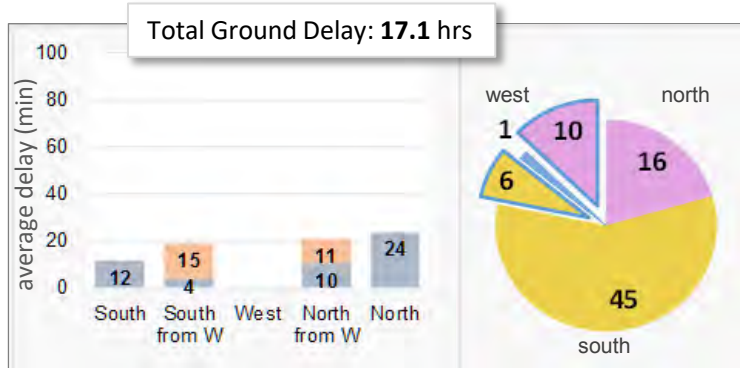
DELTA AIRLINES



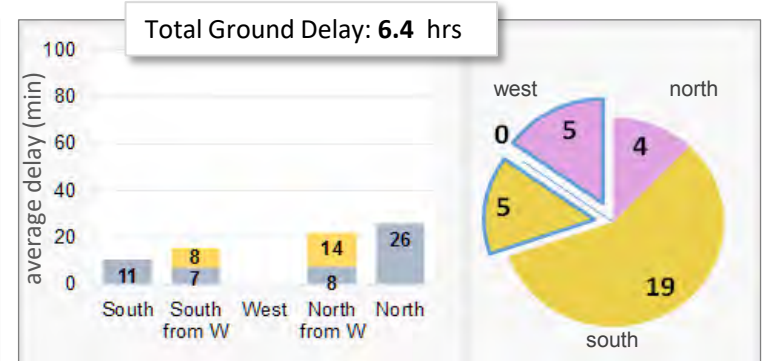
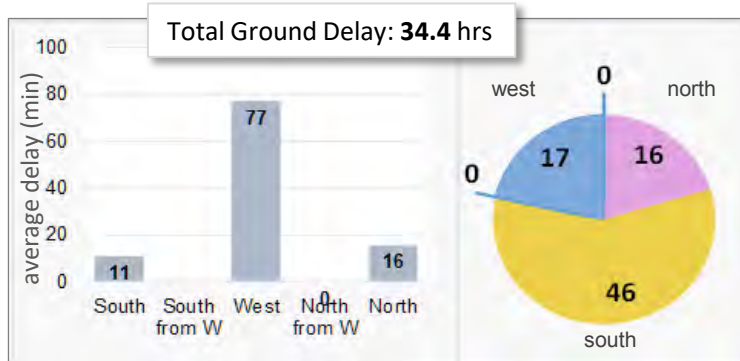
AMERICAN AIRLINES



Run 3: Only Delta submits Trajectory Options Sets



Run 4: Everyone *but* Delta submits Trajectory Options Sets





- Coordination of two Decision Support Systems to manage demand across multiple constraints
- “Stakeholder-centric” approach
 - Ongoing relationships with FAA Operational Concepts, Validation and Requirements Office (AJV-7); CDM Flow Evaluation Team; and TFMS Deployment Team
 - Valuable input on concept feasibility, potential benefits, operational concerns, metrics, implementation barriers, etc.
- Workshops in March 2018
 - Both the system and airline benefits, especially for TOS “early adopters”
 - Addressed key concerns for stakeholders on the cost and benefits of early adoption - *has been a key implementation barrier*
- IDM concept and procedures are maturing and on track to be completed by the end of its project cycle (FY20/FY21)



BACK-UP SLIDES

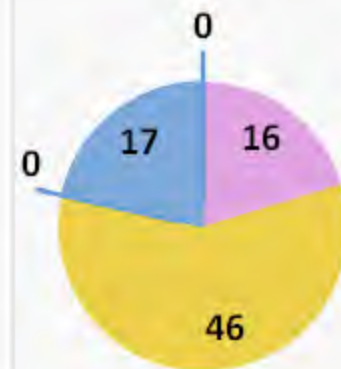
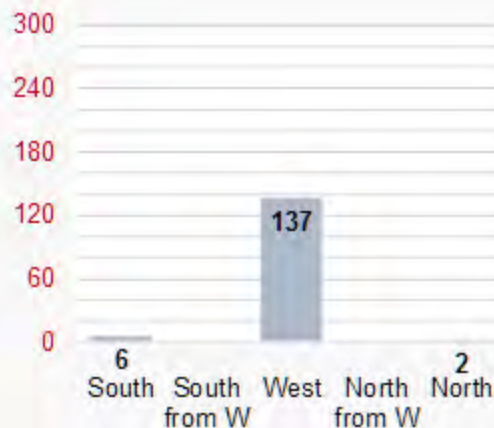
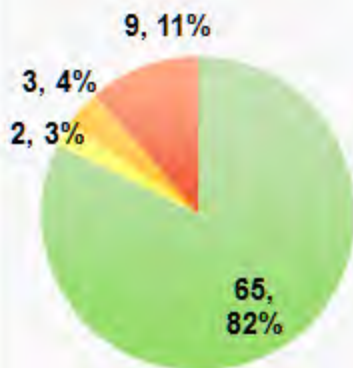


Results: Delta and American, no flights submit TOSs

Results by Airline: No TOS Options submitted

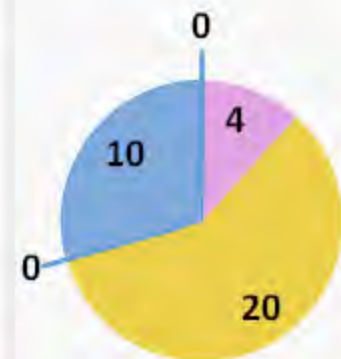
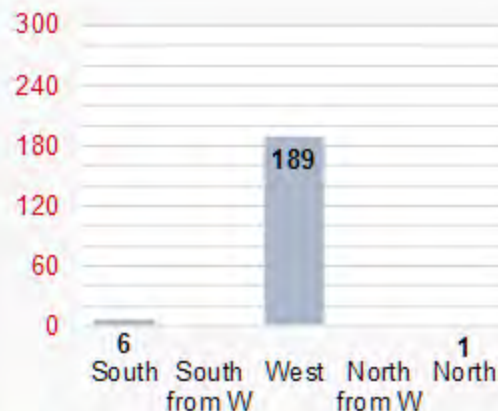
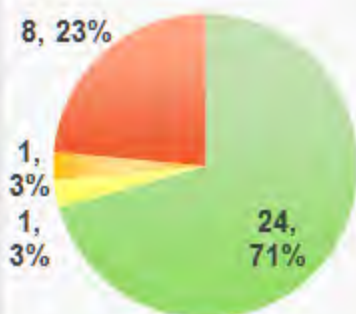
DELTA

Total flight count:	99
Eligible flights only:	79
Total Ground Delay:	2629 min
TOS-reouted flights:	0
Flight Time increase:	0 min
Ground Delay Savings:	0 min



AMERICAN

Total flight count:	45
Eligible flights only:	34
Total Ground Delay:	2017 min
TOS-reouted flights:	0
Flight Time increase:	0 min
Ground Delay Savings:	0 min



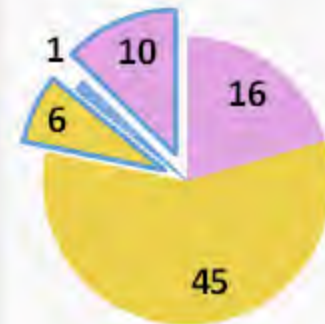
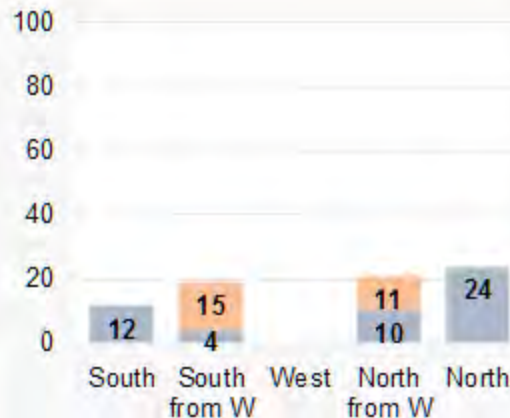
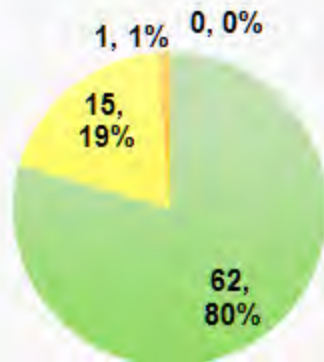


Results: Delta and American, Delta submits TOSs

Results by Airline: TOS Options submitted

DELTA

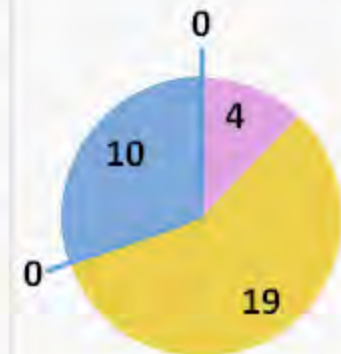
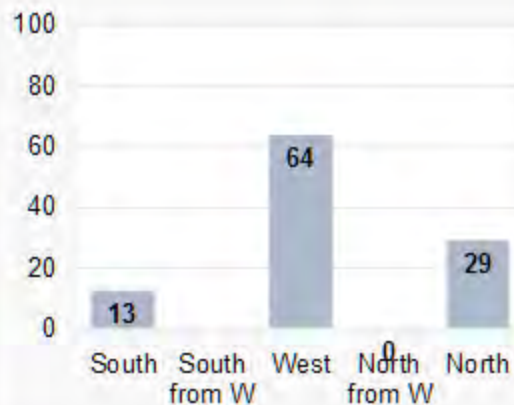
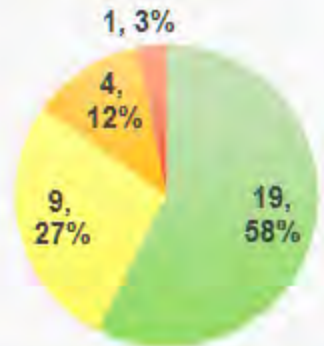
Total flight count: **99**
 Eligible flights only: **78**
 Total Ground Delay: **1026 min**
 TOS-rerouted flights: **16**
 Flight Time increase: **196 min**
 Ground Delay Savings: **819 min**



Results by Airline: No TOS Options submitted

AMERICAN

Total flight count: **45**
 Eligible flights only: **33**
 Total Ground Delay: **993 min**
 TOS-rerouted flights: **0**
 Flight Time increase: **0 min**
 Ground Delay Savings: **0 min**



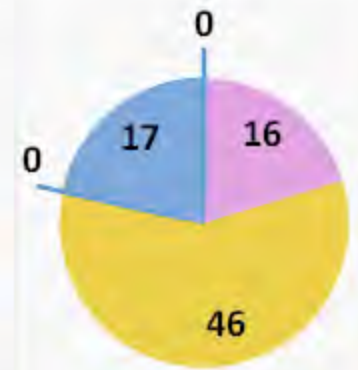
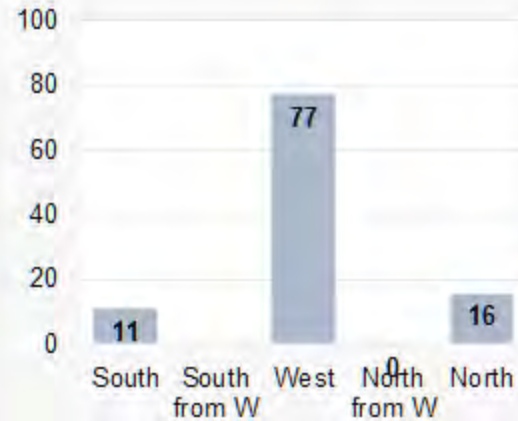
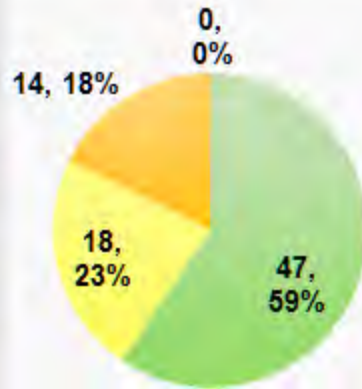
Results: Delta and American, all airlines *except* Delta submit TOSs



Results by Airline: NOT TOS-Capable

DELTA

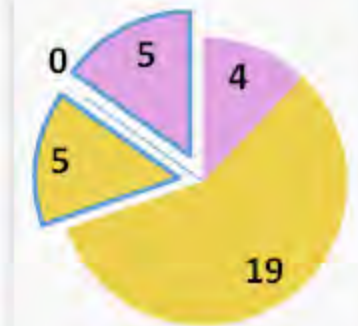
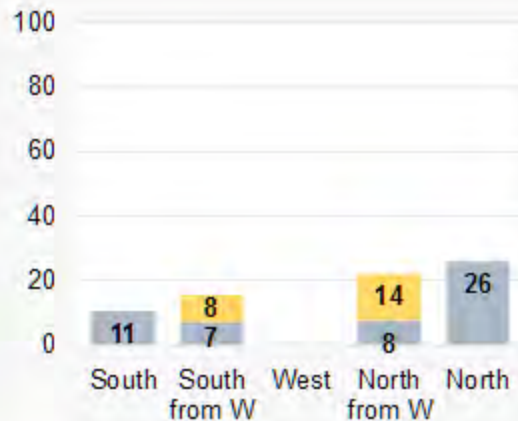
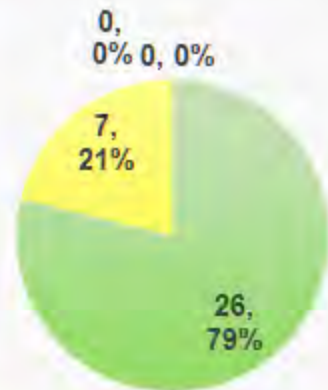
Total flight count:	99
Eligible flights only:	79
Total Ground Delay:	2064 min
TOS-rerouted flights:	0
Flight Time increase:	0 min
Ground Delay Savings:	0 min



Results by Airline: TOS-Capable

AMERICAN

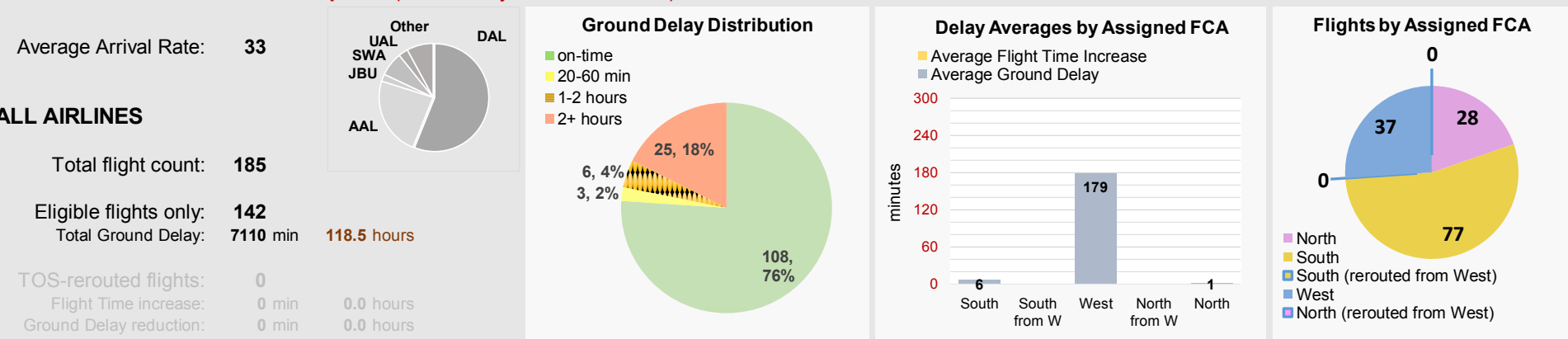
Total flight count:	45
Eligible flights only:	33
Total Ground Delay:	385 min
TOS-rerouted flights:	10
Flight Time increase:	113 min
Ground Delay Savings:	876 min



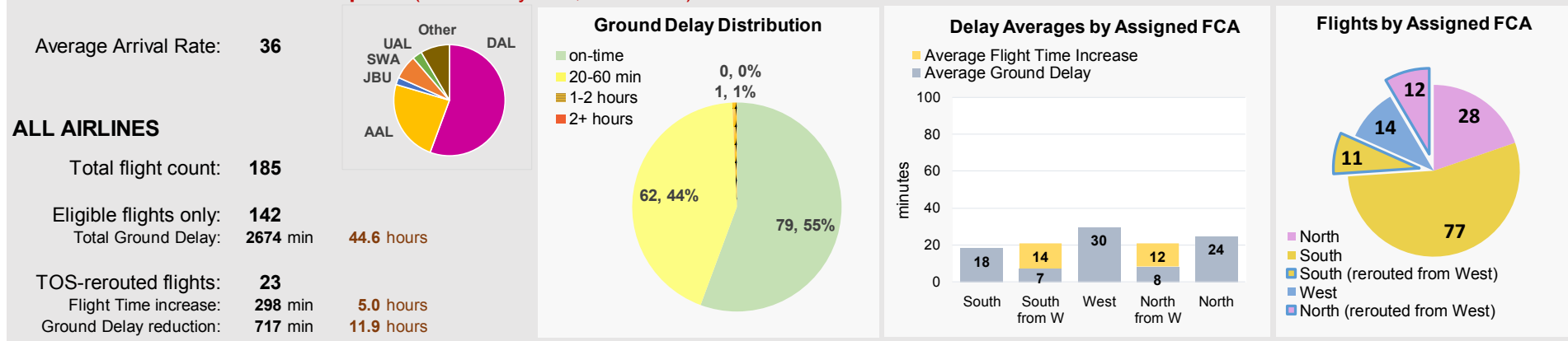


Results: No-TOS vs. All TOS comparison, all flights

Run 2: NO Airlines are TOS Capable (Preliminary Run, 3/14/2018)



Run 1: ALL airlines are TOS Capable (Preliminary Run, 3/14/2018)





Results: No-TOS vs. All TOS comparison

Run 1. No Flights Submit Trajectory Options Sets

3/14/2018) **Run 2: NO Airlines are TOS Capable** (Preliminary Run, 3/14/2018)

Throughput: 33 flights/hour
Average Arrival Rate: 33

Ground Delay Distribution
 Ground Delay (total): **118.5 hours**
 Added flight time (total): **24.0 hours**

ALL AIRLINES

Total flight count: **185**
 Eligible flights only: **142**
 Total Ground Delay: **7110 min** **118.5 hours**

TOS-rerouted flights: **0**
 Flight Time increase: **0 min** **0.0 hours**
 Ground Delay reduction: **0 min** **0.0 hours**

Ground Delay Distribution

- on-time: 108, 76%
- 20-60 min: 25, 18%
- 1-2 hours: 6, 4%
- 2+ hours: 3, 2%

Run 2. All Flights Submit Trajectory Options Sets

3/14/2018) **Run 1: ALL airlines are TOS Capable** (Preliminary Run, 3/14/2018)

Throughput: 36 flights/hour
Average Arrival Rate: 36

Ground Delay Distribution
 Ground Delay (total): **44.6 hours**
 Added flight time (total): **5.0 hours**

ALL AIRLINES

Total flight count: **185**
 Eligible flights only: **142**
 Total Ground Delay: **2674 min** **44.6 hours**

TOS-rerouted flights: **23**
 Flight Time increase: **298 min** **5.0 hours**
 Ground Delay reduction: **717 min** **11.9 hours**

Ground Delay Distribution

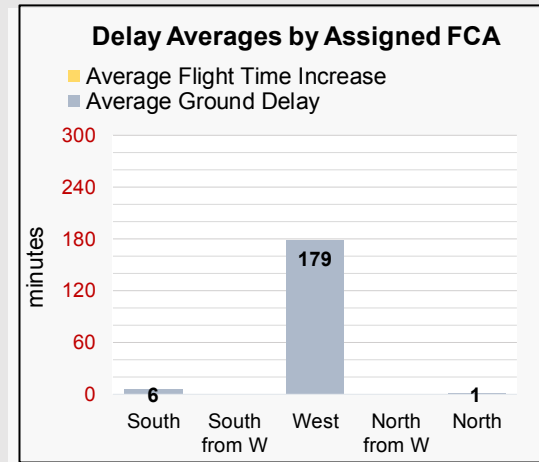
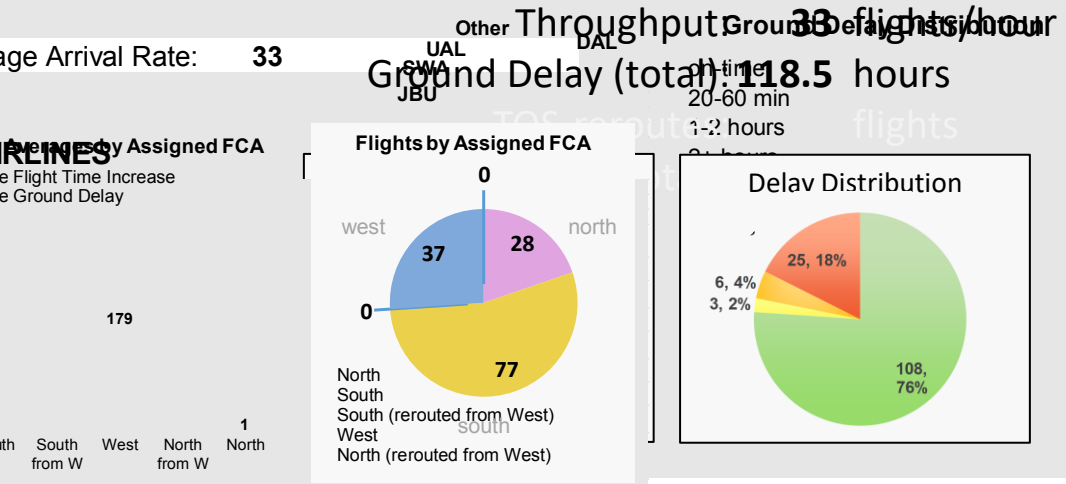
- on-time: 79, 55%
- 20-60 min: 62, 44%
- 1-2 hours: 1, 1%
- 2+ hours: 0, 0%



Results: No-TOS vs. All TOS comparison

Run 1. No Flights Submit Trajectory Options Sets

2: NO Airlines are TOS Capable (Preliminary Run, 3/14/2018)

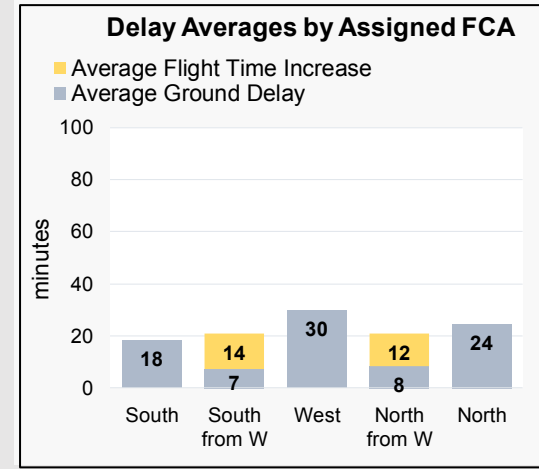
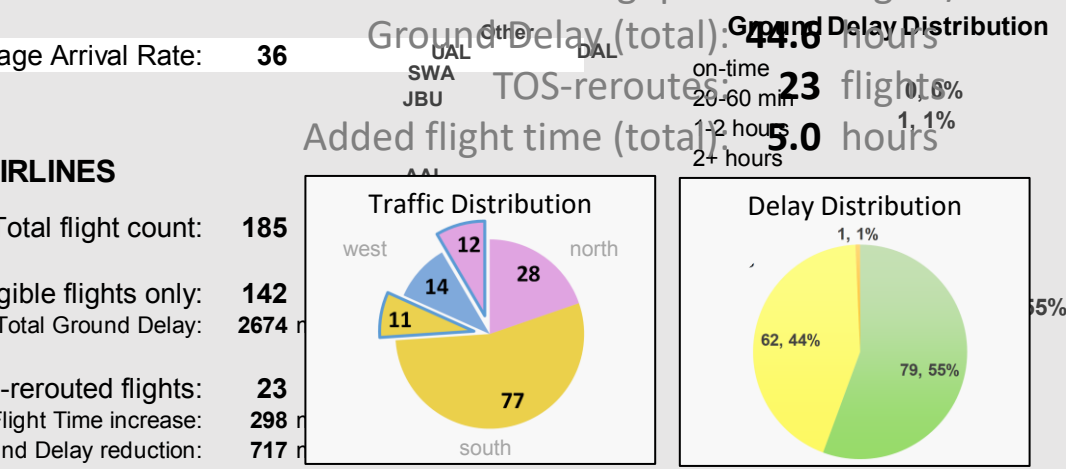


Flights by Assigned FCA

FCA	Count
South	0
South (rerouted from West)	37
West	0
North	0
North (rerouted from West)	0

Run 2. All Flights Submit Trajectory Options Sets

1: ALL airlines are TOS Capable (Preliminary Run 3/14/2018)



Flights by Assigned FCA

FCA	Count
South	12
South (rerouted from West)	14
West	11
North	0
North (rerouted from West)	0



Results: Participant Feedback

LGA problem really struck home for working group

Advantages of concept and CTOP itself were immediately apparent

...



Original FCA

Main carrier regional flights will be denoted with an R in the call sign. UAL = UAR

Callsign	FCA	TOS Option	Flight Plan
UAL556	WEST	1	KDEN./ZIRKL..MCK..LNK.J60.DJB..YNG..ETG.MIP4.KLGA
UAL556	SOUTH	2	KDEN./PER..RZC..ARG.J46.BNA.J42.BKW.J42.GVE.KORRY4.KLGA
UAL556	NORTH	3	KDEN./BRYCC..TAYOT..DAYYY..RUBKI..SIKBO..TULEG..RKA.HAARP3.KLGA
UAR4314	WEST	1	KCLE./FAILS..JFN..ETG.MIP4.KLGA
UAR4314	NORTH	2	KCLE./FAILS..ERI..JHW..MEMMS..WILET..RKA.HAARP3.KLGA
UAR5706	WEST	1	KORD./MOBLE..ADIME..GERBS.J146.ETG.MIP4.KLGA
UAR5706	NORTH	2	KORD./HANKK..EXTOL..RKA.HAARP3.KLGA
UAR5706	SOUTH	3	KORD./EARND..ELANR..EMMLY..ERECO..IIU.J526.BKW.J42.GVE.KORRY4.KLGA
UAR6256	SOUTH	1	KIAD./AGARD.KORRY4.KLGA